Basic Number Theory

Importance of Number Theory

- Before the dawn of computers, many viewed number theory as last bastion of "pure math" which could not be useful and must be enjoyed only for its aesthetic beauty.
- No longer the case. Number theory is crucial for encryption algorithms. Of utmost importance to everyone from Bill Gates, to the CIA, to Osama Bin Laden.
- E.G., of great importance in COMS 4180 "Network Security".

Importance of Number Theory

- The encryption algorithms depend heavily on modular arithmetic. We need to develop various machinery (notations and techniques) for manipulating numbers before can describe algorithms in a natural fashion.
 - First we start with divisors.

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Divisors

DEF: Let *a*, *b* and *c* be integers such that $a = b \cdot c$.

Then *b* and *c* are said to *divide* (or are *factors*) of *a*, while *a* is said to be a *multiple* of *b* (as well as of *c*). The pipe symbol "|" denotes "divides" so the situation is summarized by:

 $b \mid a \wedge c \mid a$.

NOTE: Students find notation confusing, and think of "|" in the reverse fashion, perhaps confuse pipe with forward slash "/" Divisors. Examples

Q: Which of the following is true?
1. 77 | 7
2. 7 | 77
3. 24 | 24
4. 0 | 24
5. 24 | 0

Divisors. Examples

A:

1. 77 | 7: false bigger number can't divide smaller positive number 2. 7 | 77: true because $77 = 7 \cdot 11$ 3. 24 | 24: true because $24 = 24 \cdot 1$ 4. 0 | 24: false, only 0 is divisible by 0 5. 24 0: true, 0 is divisible by every number $(0 = 24 \cdot 0)$

Formula for Number of Multiples up to given *n*

Q: How many positive multiples of 15 are less than 100?

Formula for Number of Multiples up to given *n*

A: Just list them:15, 30, 45, 60, 75, 80, 95.Therefore the answer is 6.

Q: How many positive multiples of 15 are less than 1,000,000?

Formula for Number of Multiples up to Given *n*

- A: Listing is too much of a hassle. Since 1 out of 15 numbers is a multiple of 15, if 1,000,000 were were divisible by 15, answer would be exactly 1,000,000/15. However, since 1,000,000 isn't divisible by 15, need to round down to the highest multiple of 15 less than 1,000,000 so answer is [1,000,000/15].
- In general: The number of *d*-multiples less than *N* is given by:
- $|\{m \in \mathbf{Z}^+ \mid d \mid m \text{ and } m \leq N\}| = \lfloor N/d \rfloor$

Divisor Theorem

THM: Let *a*, *b*, and *c* be integers. Then: 1. $a|b \wedge a|c \rightarrow a|(b+c)$ 2. $a|b \rightarrow a|bc$ 3. $a|b \wedge b|c \rightarrow a|c$ EG: 1. $17|34 \land 17|170 \rightarrow 17|204$ 2. $17|34 \rightarrow 17|340$ 3. $6|12 \land 12|144 \rightarrow 6|144$

Divisor Theorem. Proof of no. 2

In general, such statements are proved by starting from the definitions and manipulating to get the desired results. EG. Proof of no. 2 $(a|b \rightarrow a|bc)$: Suppose a b. By definition, there is a number *m* such that b = am. Multiply both sides by c to get bc = amc = a (mc). Consequently, bc has been expressed as a times the integer mc so by definition of "|", a|bc •

Prime Numbers

DEF: A number $n \ge 2$ **prime** if it is only divisible by 1 and itself. A number $n \ge 2$ which isn't prime is called **composite**. Q: Which of the following are prime? 0,1,2,3,4,5,6,7,8,9,10

Prime Numbers

A: 0, and 1 not prime since not positive and greater or equal to 2 2 is prime as 1 and 2 are only factors 3 is prime as 1 and 3 are only factors. 4,6,8,10 not prime as *non-trivially* divisible by 2. 5, 7 prime. $9 = 3 \cdot 3$ not prime. Last example shows that not all odd numbers

are prime.

Fundamental Theorem of Arithmetic

- THM: Any number $n \ge 2$ is expressible as as a unique product of 1 or more prime numbers.
- Note: prime numbers are considered to be "products" of 1 prime.
- We'll need induction and some more number theory tools to prove this.
- Q: Express each of the following number as a product of primes: 22, 100, 12, 17

Fundamental Theorem of Arithmetic

- A: $22 = 2 \cdot 11$, $100 = 2 \cdot 2 \cdot 5 \cdot 5$,
- $12 = 2 \cdot 2 \cdot 3, \ 17 = 17$
- Convention: Want 1 to also be expressible as a product of primes. To do this we define 1 to be the "empty product". Just as the sum of nothing is by convention 0, the product of nothing is by convention 1.
- →Unique factorization of 1 is the factorization that uses no prime numbers at all.

Primality Testing

Prime numbers are very important in encryption schemes. Essential to be able to verify if a number is prime or not. It turns out that this is quite a difficult problem. First try: boolean isPrime(integer n) if (n < 2) return false for(i = 2 to n - 1) if(*i* | *n*) // "divides"! not disjunction return false return true Q: What is the running time of this algorithm?

Primality Testing

- A: Assuming divisibility testing is a basic operation –so O(1) (*this is an invalid assumption*)– then above primality testing algorithm is O(n).
 Q: What is the running time in terms of
 - the input size k?

Primality Testing A: Consider n = 1,000,000. The input size is k = 7 because *n* was described using only 7 digits. In general we have $n = O(10^k)$. Therefore, running time is $O(10^k)$. REALLY HORRIBLE! Q: Can we improve algorithm?

Primality Testing

Don't try number bigger than n/2
 After trying 2, don't try any other even numbers, because know n is odd by this point.

In general, try only smaller prime numbers

• In fact, only need to try to divide by prime numbers no larger than \sqrt{n} as we'll see next:

A:

Primality Testing LEMMA: If *n* is a composite, then its smallest prime factor is $\leq \sqrt{n}$ Proof (by contradiction). Suppose the smallest prime factor is $>\sqrt{n}$. Then by the fundamental theorem of arithmetic we can decompose *n* = *pqx* where *p* and q are primes $>\sqrt{n}$ and x is some integer. Therefore $n > \sqrt{n} \cdot \sqrt{n} \cdot x = nx$ implying that $n > n_r$, which is impossible showing that the original supposition was false and the theorem is correct. •

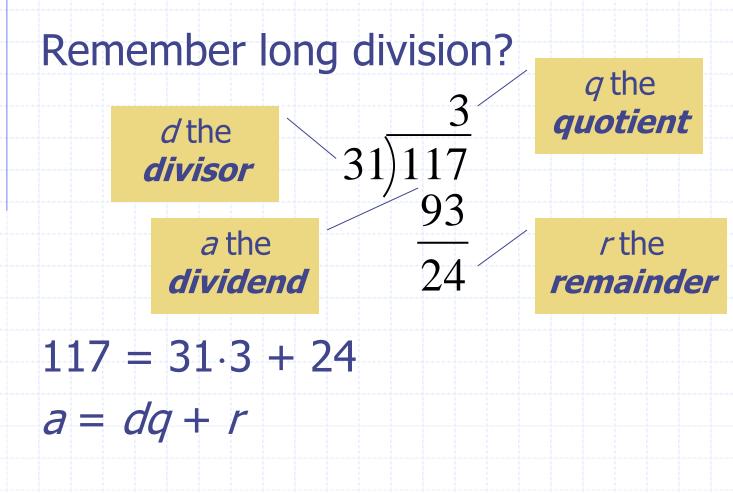
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Primality Testing. Example

- EG: Test if 139 and 14<u>3</u> are prime.
- List all primes up to \sqrt{n} and check if they divide the numbers.
- 2: Neither is even
- 3: Sum of digits trick: 1+3+9 = 13, 1+4+3 = 8 so neither divisible by 3
- 5: Don't end in 0 or 5
- 7: 140 divisible by 7 so neither div. by 7
- 11: Alternating sum trick: 1-3+9 = 7 so 139 not div. By 11. 1-4+3 = 0 so 143 *is* divisible by 11.
- **STOP!** Next prime 13 need not be examined since bigger than \sqrt{n} .

Conclude: 139 is prime, 143 is composite.

Division



Division

THM: Let *a* be an integer, and *d* be a positive integer. There are unique integers q_r with $r \in \{0, 1, 2, ..., d-1\}$ satisfying

a = dq + r

The proof is a simple application of longdivision. The theorem is called the *division algorithm* though really, it's long division that's the algorithm, not the theorem.

DEF Let *a*, *b* be integers, not both zero. The *greatest common divisor* of *a* and *b* (or gcd(*a*,*b*)) is the biggest number *d* which divides both *a* and *b*.

Equivalently: gcd(*a*,*b*) is smallest number which divisibly by any *x* dividing both *a* and

DEF: *a* and *b* are said to be *relatively prime* if gcd(a,b) = 1, so no prime common divisors.

b.

Q: Find the following gcd's:
1. gcd(11,77)
2. gcd(33,77)
3. gcd(24,36)
4. gcd(24,25)

- 1. gcd(11,77) = 112. gcd(33,77) = 11
- 3. gcd(24,36) = 12
- 4. gcd(24,25) = 1. Therefore 24 and 25 are relatively prime.
- NOTE: A prime number are relatively prime to all other numbers which it doesn't divide.

A:

EG: More realistic. Find gcd(98,420). Find prime decomposition of each number and find all the common factors:

98 = 2.49 = 2.7.7

 $420 = 2 \cdot 210 = 2 \cdot 2 \cdot 105 = 2 \cdot 2 \cdot 3 \cdot 35$

 $= 2 \cdot 2 \cdot 3 \cdot 5 \cdot 7$

Underline common factors: $2 \cdot 7 \cdot 7$, $2 \cdot 2 \cdot 3 \cdot 5 \cdot 7$ Therefore, gcd(98,420) = 14

Pairwise relatively prime: the numbers a, b, c, d, ... are said to be pairwise relatively prime if any two distinct numbers in the list are relatively prime.

Q: Find a maximal pairwise relatively prime subset of

{ 44, 28, 21, 15, 169, 17 }

A: A maximal pairwise relatively prime subset of {44, 28, 21, 15, 169, 17} : {17, 169, 28, 15} is one answer.
{17, 169, 44, 15} is another answer.

Least Common Multiple

- DEF: The *least common multiple* of *a*, and *b* (lcm(*a*,b)) is the smallest number *m* which is divisible by both *a* and *b*.
- Equivalently: lcm(*a*,*b*) is biggest number which divides any *x* divisible by both *a* and *b*
- Q: Find the lcm's:
- 1. lcm(10,100)
- 2. lcm(7,5)
- 3. lcm(9,21)

Least Common Multiple

A: 1. lcm(10,100) = 1002. lcm(7,5) = 353. lcm(9,21) = 63THM: lcm(a,b) = ab / gcd(a,b)

lcm in terms of gcd Proof

THM: lcm(a,b) = ab / gcd(a,b)

Proof. Let g = gcd(a,b).

lcm in terms of gcd Proof

THM: lcm(a,b) = ab / gcd(a,b) *Proof.* Let g = gcd(a,b). Factor a and busing g: a = gx, b = gy where x and y are relatively prime.

Icm in terms of gcd Proof

THM: lcm(a,b) = ab / gcd(a,b)*Proof.* Let g = gcd(a,b). Factor a and b using *g*: a = qx, b = qy where x and y are relatively prime. Therefore, ab/gcd(a,b) = qxqy/q = qxy. Notice that *a* and *b* both divide *qxy*. On the other hand, let *m* be divisible by both a and b.

Icm in terms of gcd Proof

THM: lcm(a,b) = ab / gcd(a,b)

Proof. (continued) On the other hand, let *m* be divisible by both *a* and *b*: So *m/g* is divisible by both *x* and *y*. As *x* and *y* have no common prime factors, the fundamental theorem of arithmetic implies that *m/g* must be divisible by *xy*.

Icm in terms of gcd Proof

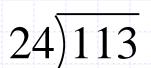
THM: lcm(a,b) = ab / gcd(a,b)*Proof.* (continued) ... *m/g* must be divisible by xy. Therefore, m must be divisible by qxy. This shows that any multiple of *a* and *b* is bigger than *gxy* so by definition, gxy = ab/gcd(a,b) is the lcm.

Modular Arithmetic

- There are two types of "mod" (confusing):
- the mod function
 - Inputs a number a and a base b
 - Outputs a mod b a number between 0 and b-1 inclusive
 - This is the remainder of a÷b
 - Similar to Java's % operator.
- the (mod) congruence
 - Relates two numbers *a*, *a*' to each other relative some base *b*
 - a = a' (mod b) means that a and a' have the same remainder when dividing by b

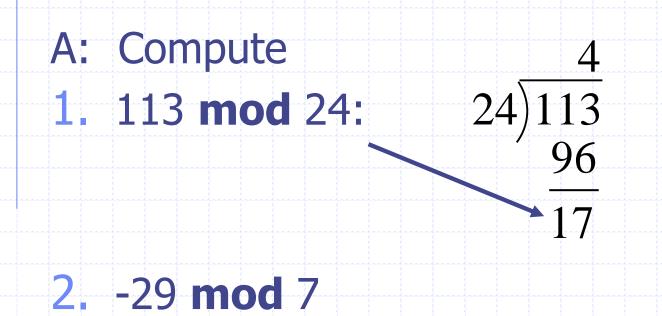
Similar to Java's "%" operator except that answer is always positive. E.G.
-10 mod 3 = 2, but in Java –10%3 = -1.
Q: Compute
1. 113 mod 24
2. -29 mod 7

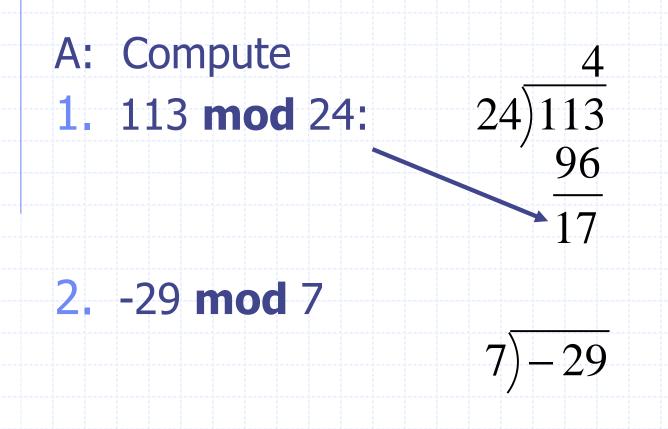
A: Compute1. 113 mod 24:

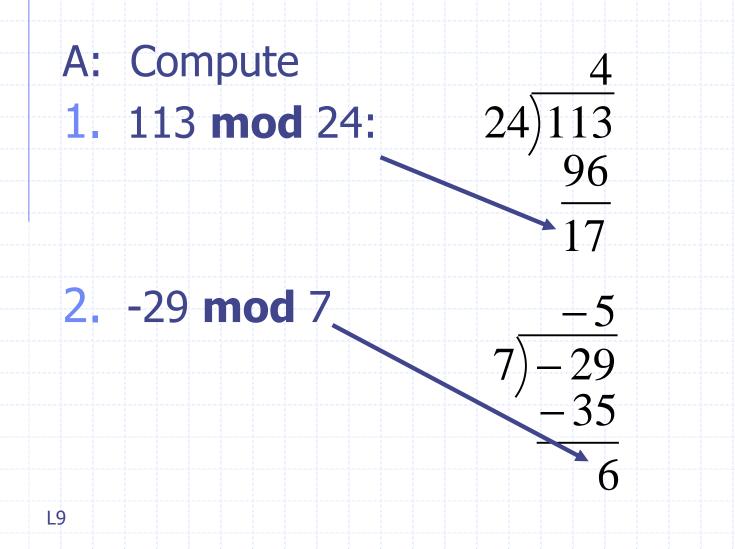


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2. -29 mod 7







(mod) congruence Formal Definition

DEF: Let *a*,*a*' be integers and *b* be a positive integer. We say that *a* is congruent to *a*' modulo *b* (denoted by $a \equiv a' \pmod{b}$) iff b | (*a* – *a*'). Equivalently: $a \mod b = a' \mod b$ Q: Which of the following are true? 1. $3 \equiv 3 \pmod{17}$ **2.** $3 \equiv -3 \pmod{17}$ 3. $172 \equiv 177 \pmod{5}$ 4. $-13 \equiv 13 \pmod{26}$

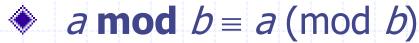
(mod) congruence

 3 ≡ 3 (mod 17) True. any number is congruent to itself (3-3 = 0, divisible by all)
 3 ≡ -3 (mod 17) False. (3-(-3)) = 6 isn't divisible by 17.
 172 ≡ 177 (mod 5) True. 172-177 = -5 is a multiple of 5
 -13 ≡ 13 (mod 26) True: -13-13 = -26 divisible by 26.

A:

(mod) congruence Identities

The (mod) congruence is useful for manipulating expressions involving the **mod** function. It lets us view modular arithmetic relative a fixed base, as creating a number system inside of which all the calculations can be carried out.



Suppose $a \equiv a' \pmod{b}$ and $c \equiv c' \pmod{b}$ Then:

- $\bullet \quad a+c \equiv (a'+c') \pmod{b}$
- $ac \equiv a'c' \pmod{b}$
- $\bullet a^{k} \equiv a^{i} \pmod{b}$